

2019 Final Risk Adjustment Data Submission Run: 01/31/2020 Deadline

Overpayment Run Submission Deadline*: 06/30/2020 Deadline

Scenario: A Medicare Advantage Organization (MAO) initially submits an encounter data record prior to the PY 2019 final risk adjustment data submission deadline with diagnoses DxA and DxB. Shortly after, the MAO submits a replacement record that includes DxA but removes DxB. When submitting the replacement record the MAO accidentally changes the TOB code to one that is incorrect for the service and not allowed for risk adjustment. After the final risk adjustment data submission deadline but before the overpayment run submission deadline, the MAO realizes the mistake and submits a new replacement record that corrects the TOB code while removing DxA and adding DxB, Dx

Encounter Data Submission						MAO-002	MAO-004						Payment Designation		Description			
ICN	Date of Service	Date of Encounter Data Submission	Encounter Type	Type of Bill	Diagnoses Included in Encounter	Preliminary Allow/Disallow Reason Code	Service Type	Allow/Disallow Flag	Allow/Disallow Reason Code	Diag 1	Add/Delete 1**	Diag 2	Add/Delete 2**	Diag 3	Add/Delete 3**	Diagnoses Included in Payment ***	Diagnoses Removed from Payment	
1000	1/15/2018	2/1/2018	1	13X	DxA, DxB	PA	O	A	-	DxA	A	DxB	A	-	-	DxA, DxB	-	MAO submits an original encounter before the PY 2019 final risk adjustment data submission deadline. The encounter includes Type of Bill (TOB) 13X, and diagnoses DxA and DxB. The MAO-002 will report this record as preliminarily allowed ("PA"). The MAO-004 will report this record as allowed ("A") because it passed all filtering criteria, including an allowed TOB. The MAO- 004 will indicate that DxA and DxB are in "Add" status ("A"). Since these diagnoses were added prior to the PY 2019 final risk adjustment data submission deadline, and are risk adjustment eligible (see the December 22, 2015 HPMS memo, <i>Final Encounter Data Diagnosis Filtering Logic</i>), they will be included in the PY 2019 final risk adjustment reconciliation payment.
1001	1/15/2018	2/15/2018	3	15X	DxA	PD/PT	O	D	T	DxA	A	DxB	D	-	-	-	DxA, DxB	MAO submits a replacement record before the PY 2019 final risk adjustment data submission deadline. This replacement record changes the TOB to 15X and includes DxA, but does not include DxB. The MAO-002 will report this record as preliminarily disallowed ("PD") with the reason code indicating that the TOB is not allowable ("PT") and the MAO-004 will report this record as disallowed ("D") with the reason code indicating that the TOB was not allowable ("T"). The MAO- 004 will still indicate that DxA is in "Add" status ("A"). DxB will be in "Delete" status ("D"). Although the replacement record indicated DxA is in "Add" status, it will not be included in the PY 2019 final risk adjustment reconciliation payment because it has been disallowed due to the TOB on the replacement record (see the December 22, 2015 HPMS memo, <i>Final Encounter Data Diagnosis Filtering Logic</i>).
1002	1/15/2018	3/1/2020	3	13X	DxB, DxC	PD/PD	O	D	D	DxA	D	DxB	A	DxC	A	-	-	MAO submits another replacement record after the PY 2019 final risk adjustment data submission deadline, but before the overpayment run submission deadline. The replacement record changes the TOB back to 13X and includes DxB as well as a new diagnosis, DxC, but does not include DxA. The MAO-002 will report this record as preliminarily disallowed ("PD") with the reason code indicating that the record was submitted after the PY 2019 final risk adjustment data submission deadline ("PD") and the MAO-004 will report this record as disallowed ("D") with the reason code indicating the record was submitted after the PY 2019 final risk adjustment data submission deadline ("D"). The MAO-004 will indicate that DxB and DxC are in "Add" status ("A"). DxA will now be in "Delete" status ("D"). Despite the updated TOB code on this replacement being an acceptable code per the December 22, 2015 HPMS memo, <i>Final Encounter Data Diagnosis Filtering Logic</i> , diagnoses DxB and DxC will not be eligible for payment. DxB and DxC are in "Add" status but because they were added through a replacement record after the PY 2019 final risk adjustment data submission deadline (see 42 CFR 422.310g(2)(ii)- <i>After the final risk adjustment data submission deadline, which is a date announced by CMS that is no earlier than January 31 of the year following the payment year, an MA organization can submit data to correct overpayments but cannot submit diagnoses for additional payment</i>).

* The PY2019 overpayment run has not been scheduled. This overpayment submission deadline is for sample purposes only.

** A diagnosis that is deemed "Add" status will be included for informational purposes and does not mean the diagnosis has been included for payment.

*** Diagnoses included in payment assuming each encounter row is the final encounter data record.